

A.

**Nathan Richardson Allen III**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
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[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Nathan Richardson Allen III that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Nathan Richardson Allen is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 Education Background and Business Experience**

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Nathan Richardson Allen III was born in 1964. Mr. Allen graduated from Trinity College in 1987, with a degree in Political Science. Mr. Allen has been a Senior Financial Advisor of WMS Partners, LLC since June of 2018.

From November 2001 to August 2006, Mr. Allen was a Financial Advisor for UBS Financial Services, Inc. From August 2006 through July 2012, Mr. Allen was a Client Advisor for Deutsche Bank Securities, Inc. From August 2012 through June 2017, Mr. Allen was an Investment Adviser Representative for A.L. Stuart Investments, LLC. From June 2017 through July 2018, Mr. Allen was an Investment Adviser Representative for Warisan Capital Management, LLC.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Gary Robert Anderson**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

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B.

**This Brochure Supplement provides information about Gary Robert Anderson that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Gary Robert Anderson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

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Gary Robert Anderson was born in 1946. Mr. Anderson graduated from Lehigh University with a Bachelor of Science Degree in Business Administration, earned a J.D. with honors from the University Of Maryland School Of Law, and is a member of the Maryland Bar Association (MSBA). Mr. Anderson joined WMS Partners, LLC as a Senior Financial Advisor in July 2014. Prior to that, Mr. Anderson was a Principal with Glass Jacobson Investment Advisors, LLC from 2008 until 2014, and GRA Financial Advisors, LLC from 2002 until 2008.

Mr. Anderson has held the designation of Certified Public Accountant ("CPA") since 1970. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most

states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.

Mr. Anderson has held the designation of Personal Financial Specialist ("PFS") since 2009. The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, fulfill 3,000 hours of personal financial planning business experience, complete 80 hours of personal financial planning CPE credits, pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's *Code of Professional Conduct* and is encouraged to follow AICPA's *Statement on Responsibilities in Financial Planning Practice*. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

Mr. Anderson has held the designation of Accredited Investment Fiduciary (AIF®) since 2012. The AIF® designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics. In order to maintain the AIF Designation, the individual must annually renew their affirmation of the Code of Ethics and complete six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Mr. Anderson has held the designation of Accredited Estate Planner (AEP®) designation since 2016. The AEP® designation is a graduate level specialization in estate planning, obtained in addition to already recognized professional credentials within the various disciplines of estate planning. It is awarded by the National Association of Estate Planners & Councils (NAEPC) to estate planning professionals who meet stringent requirements of experience, knowledge, education professional reputation, and character. NAEPC has designated The American College of Financial Services as the primary provider of the education courses required to earn the AEP® designation. An AEP candidate must have an active JD, CPA, CLU, CFP, CHFC, or CTFA, and five years actively engaged in estate planning. To maintain the designation, Mr. Anderson must attend at least 30 hours of continuing education with fifteen in estate planning each year.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A

**Eric Matthew Bland**

WMS Partners, LLC

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Dated March 30, 2021

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1 Olympic Place, 8th Floor  
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B.

**This Brochure Supplement provides information about Eric Matthew Bland that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Eric Matthew Bland is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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## **Item 2 Education Background and Business Experience**

Eric Matthew Bland was born in 1985. Mr. Bland graduated from University of Delaware with dual Bachelor of Science Degrees in Marketing and Finance in May of 2007, and a Masters in Business Administration for Finance from Wilmington University in 2011. Mr. Bland joined WMS Partners, LLC as a Portfolio Manager in December 2015. Prior to that, he was a Senior Client Analyst at Marquette Associates from May 2014 through November 2015, a Portfolio Manager for Christiana Trust from May 2007 through April 2015, and an Account Manager for Bank of America from January 2003 through May of 2007.

Mr. Bland has held the designation of Chartered Financial Analyst (CFA®) since 2015. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's

degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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## Item 1 Cover Page

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A.

### **Samuel Porter Callard**

WMS Partners, LLC

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B.

This Brochure Supplement provides information about Samuel Porter Callard that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Samuel Porter Callard is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

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Samuel Porter Callard was born in 1974. Mr. Callard graduated from Haverford College with a Bachelor of Arts degree, majoring in Religion and History of Art. He earned a J.D. from the Boston University School of Law, graduating cum laude, and he is a member of the New York State Bar. Mr. Callard joined WMS Partners, LLC as a Senior Financial Advisor in August 2016. Prior to that, Mr. Callard was Vice President of Matheys Lane Capital Management, L.P., a multi-family office, from April 2010 to July 2016, and an attorney in the Investment Management practice at the law firm of Sewear & Kissel LLP in New York City from September 2006 to April 2010.

## Item 3 Disciplinary Information

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None.



#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Timothy William Chase**

WMS Partners, LLC

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Dated March 30, 2021

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1 Olympic Place, 8th Floor  
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B.

This Brochure Supplement provides information about Timothy William Chase that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Timothy William Chase is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Item 2 Education Background and Business Experience**

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Timothy William Chase was born in 1963. Mr. Chase graduated from Towson State University in 1985, with a degree in Accounting and Finance. Mr. Chase has been a shareholder of WMS Partners, LLC since July of 1992, and was Chief Compliance Officer of WMS until March 2020. Mr. Chase is currently Chairman of WMS Partners, LLC.

Mr. Chase has held the designation of Certified Financial Planner (CFP®) since 1989. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits

planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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A.

**David Mark Citron**

WMS Partners, LLC

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Dated March 30, 2021

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1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
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B.

**This Brochure Supplement provides information about David Mark Citron that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about David Mark Citron is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

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David Mark Citron was born in 1960. Mr. Citron graduated from University of Maryland in 1982, with a degree in Finance. Mr. Citron has been a shareholder of WMS Partners, LLC since April of 2002.

Mr. Citron has held the designation of Chartered Financial Analyst (CFA®) since 1996. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial

reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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A.

**Lesa Lea Crosser**

WMS Partners, LLC

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Dated March 30, 2021

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1 Olympic Place, 8th Floor  
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B.

**This Brochure Supplement provides information about Lesa Lea Crosser that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Lesa Lea Crosser is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

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Lesa Lea Crosser was born in 1965. Mrs. Crosser was hired as a Portfolio Manager with WMS Partners since 2011, and currently is a Senior Financial Advisor with WMS Partners, LLC. Prior to that, she was an investment adviser with RBC Wealth Management from April 2009 and with Citigroup Global Markets and its predecessor (Legg Mason) from July 2000. Mrs. Crosser has over twenty years of experience in the financial services industry and completed a state securities law exam in 2005.

Mrs. Crosser obtained her Accredited Wealth Management Advisor designation in 2016. Individuals who hold the AWMA® designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. The program is designed for approximately 120-150 hours of self-study. The program is self-paced and must be completed within one year from enrollment.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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A.

**Rebecca Streamo Eberwein**

WMS Partners, LLC

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1 Olympic Place, 8th Floor  
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B.

This Brochure Supplement provides information about Rebecca Streamo Eberwein that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Rebecca Streamo Eberwein is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 Education Background and Business Experience**

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Rebecca Streamo Eberwein was born in 1977. Mrs. Eberwein graduated from the University of North Carolina at Chapel Hill with a Bachelor of Arts degree, majoring in English. She earned a J.D. from North Carolina Central University and she is a member of the North Carolina State Bar. Mrs. Eberwein joined WMS Partners, LLC as a Financial Advisor and Estate Planning Specialist in November 2010. Prior to that, Mrs. Eberwein was an attorney with TrustCounsel, an estate planning, trust, and tax law practice, in Chapel Hill, North Carolina from August 2006 to November 2010. Mrs. Eberwein is currently a Financial Advisor and Planner with WMS Partners, LLC.

**Item 3 Disciplinary Information**

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None.



#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
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#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

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A.

**Martin Joseph Eby**

WMS Partners, LLC

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Additional information about Martin Joseph Eby is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## Item 2 Education Background and Business Experience

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Martin Joseph Eby was born in 1960. Mr. Eby graduated from Loyola College in 1982, with a degree in Business Administration. Mr. Eby has been a shareholder of WMS Partners, LLC since July of 1993.

Mr. Eby has held the designation of Certified Financial Planner (CFP®) since 1987. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits

planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

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**Item 3 Disciplinary Information**

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None.

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**Item 4 Other Business Activities**

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**Item 5 Additional Compensation**

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None.

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**Item 6 Supervision**

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A.

**Lisa Antoinette Edwards**

WMS Partners, LLC

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Dated March 30, 2021

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B.

**This Brochure Supplement provides information about Lisa Antoinette Edwards that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Lisa Antoinette Edwards is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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**Item 2 Education Background and Business Experience**

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Lisa Antoinette Edwards was born in 1972. Ms. Edwards graduated from University of Delaware with a Bachelor of Science degree in Chemical Engineering. Ms. Edwards also holds a Master of Business Administration from the Robert H. Smith School of Business, University of Maryland, College Park. Ms. Edwards joined WMS Partners, LLC as a Financial Advisor in April 2019. Prior to that, she was a Manager of Financial Analysis for Farmer Mac, from 2005 to 2019.

Ms. Edwards has held the designation of Chartered Financial Analyst (CFA®) since 2009. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed

48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

The Certified Treasury Professional® (CTP) designation serves as a benchmark of competency in finance and corporate treasury. Sponsored by the Association for Financial Professionals® (AFP), the CTP® credential signifies that a holder has demonstrated the knowledge and skills required to effectively execute critical functions related to corporate liquidity, capital and risk management. An applicant for a CTP designation must have two years or more of corporate finance-related work experience to qualify for the CTP examination. CTP's are required to earn and report 36 continuing education credits every three years in order to maintain their certification.

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**Item 3 Disciplinary Information**

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None.

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**Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

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**Item 5 Additional Compensation**

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None.

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**Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Malgorzata Elashmawy**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Malgorzata Elashmawy that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Malgorzata Elashmawy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 Education Background and Business Experience**

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Malgorzata Elashmawy was born in 1986. Mrs. Elashmawy graduated from Johns Hopkins University in May of 2012 with a Bachelor of Science Degree in Business. Mrs. Elashmawy has worked with WMS Partners, LLC since February 2011.

Mrs. Elashmawy has held the designation of Certified Financial Planner (CFP®) since 2016. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning,

retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

## Item 1 Cover Page

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A.

**Eun Suk (Paul) Ha**

WMS Partners, LLC

Brochure Supplement  
Dated October 06, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Paul Ha that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Paul Ha is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

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Paul Ha was born in 1997 in South Korea. Mr. Ha graduated from Wheaton College in Wheaton, Illinois with a Bachelor of Arts in Business & Economics in May 2020. Mr. Ha joined WMS Partners, LLC as an Advisory Analyst in 2021. Prior to his time with WMS Partners, LLC, Mr. Ha worked as an Investment Advisor Representative with CoreCap Advisors and a registered representative with CoreCap Investments, both located in Southfield Michigan. During the same time period, Mr. Ha also worked as an insurance sales intern with Klauenberg Retirement Solutions in Laurel, Maryland.

## Item 3 Disciplinary Information

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None.



#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

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## Item 1 Cover Page

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A.

**Jeffrey Leigh Hill**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Jeffrey Leigh Hill that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey Leigh Hill is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

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Jeffrey Leigh Hill was born in 1985. Mr. Hill graduated from the University of Maryland with a Bachelor of Science degree in Finance. Mr. Hill joined WMS Partners, LLC in 2009 as a Financial Planning Associate. He completed a state securities exam and became a Financial Advisor in 2013. Mr. Hill was promoted to Senior Financial Advisor, and became a shareholder in January 2014. Prior to joining WMS Partners, Mr. Hill was an analyst with a Registered Investment Advisor in Timonium.

## Item 3 Disciplinary Information

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None.

#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Joel Jerome Houck, CFA**

WMS Partners, LLC

Brochure Supplement  
Dated October 06, 2021

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Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Joel Jerome Houck that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Joel Jerome Houck is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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## **Item 2 Education Background and Business Experience**

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Joel Jerome Houck was born in 1966. Mr. Houck graduated from Miami University in Oxford, Ohio with a Bachelor of Science degree in Mathematics and Statistics and a Master of Business Administration in Finance. Mr. Houck has been a Senior Financial Advisor of WMS Partners, LLC since June of 2021.

From June 2018 through June of 2021, Mr. Houck was a investment adviser representative with Cicero Capital Partners in Columbia, Maryland. Prior to that, he worked as a research associate with Wells Fargo Securities, LLC from October 2010 through September 2017. Before his time with Wells Fargo Securities, Mr. Houck has held investment-related positions with a number of financial institutions, including not by way of limitation American Capital, Ltd., Wachovia Capital Markets, LLC, First Union Securities, Inc., A.G. Edwards and Sons, Inc. and PNC Bank.

Mr. Houck holds the designation of Chartered Financial Analyst (CFA®). CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

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### **Item 3 Disciplinary Information**

None.

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### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

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### **Item 5 Additional Compensation**

None.

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### **Item 6 Supervision**

WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Robert Sterling Killebrew, Jr.**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Robert Sterling Killebrew, Jr. that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Robert Sterling Killebrew, Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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**Item 2 Education Background and Business Experience**

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Robert Sterling Killebrew, Jr. was born in 1939. Mr. Killebrew graduated from Yale University in 1961, with a degree in History and from The Wharton School of Business at the University of Pennsylvania in 1964, with a Master's in Business Administration degree with a major in Finance. Mr. Killebrew has been a Senior Financial Advisor of WMS Partners, LLC since May of 2009, and was an equity shareholder of WMS until 2018. From March 2003 to May 2009, Mr. Killebrew was a Senior Vice President and Wealth Advisor with Morgan Stanley & Company, Inc.

Mr. Killebrew has held the designation of Chartered Financial Analyst (CFA®) since 1972. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed

48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

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**Item 3 Disciplinary Information**

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None.

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**Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

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**Item 5 Additional Compensation**

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None.

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**Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Bryan Michael Lopez**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Bryan Michael Lopez that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Bryan Michael Lopez is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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**Item 2 Education Background and Business Experience**

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Bryan Michael Lopez was born in 1982. Mr. Lopez graduated from the University of Pennsylvania in 2004 with a Bachelor of Science degree in Economics with concentrations in Finance and Accounting from the Wharton School, as well as a Bachelor of Arts in International Studies from the University's College of Arts & Sciences. Mr. Lopez has been an investment advisor representative of WMS Partners, LLC since June 2004, was promoted to shareholder in January 2012, served as Chief Operations Officer between October 2013 and January 2016, and currently serves as Chief Financial Officer of WMS Partners, LLC.

Mr. Lopez has held the designation of Chartered Financial Analyst (CFA®) since 2008. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for



examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Steven Austin Main**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Steven Austin Main that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Steven Austin Main is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Item 2 Education Background and Business Experience**

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Steven Austin Main was born in 1986. In 2009, Mr. Main graduated from Goucher College with a Bachelor of the Arts in Business Management and Mathematics. Mr. Main went on to earn a Master's in Management from Durham University in 2011. Mr. Main joined WMS Partners, LLC as a Financial Advisor in July 2020.

From March 2011 through and including August 2012, Mr. Main served as a Security Based Lending Analyst with Morgan Stanley. From September 2012 through November 2018, Mr. Main served as a Senior Portfolio Analyst with 1919 Investment Counsel. Mr. Main served as an Investment Associate with Greenspring Advisors from November 2018 through July 2020.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A

**Daniel John Mento**

WMS Partners, LLC

Brochure Supplement  
Dated October 06, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Daniel John Mento that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Daniel John Mento is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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## **Item 2 Education Background and Business Experience**

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Daniel John Mento was born in 1992. Mr. Mento graduated from James Madison University with Bachelor of Science Degree in Finance in May of 2014. Mr. Mento joined WMS Partners, LLC as a Portfolio Manager in April of 2021. Prior to that, he was a Fixed Income Analyst, and Portfolio Trader for Pinnacle Advisory Group, a Fund Accountant II for Bank of New York Mellon, and an Equity Fund Accounting Associate for T. Rowe Price.

Mr. Mento has held the designation of Chartered Financial Analyst (CFA®) since 2018. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional work experience, generally related to evaluating or applying

financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

## Item 1 Cover Page

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A.

**Hannah Popp Neitzke**

WMS Partners, LLC

Brochure Supplement  
Dated October 6, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Hannah Popp Neitzke that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Hannah Popp Neitzke is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

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Hannah Popp Neitzke was born in 1996. Hannah Popp Neitzke graduated from DeSales University with a Bachelor of Arts degree in Finance as well as a Bachelor of Science degree in Accounting. Hannah Popp Neitzke joined WMS Partners, LLC in 2019 as an Associate Advisor. She completed a state securities exam in 2021. Prior to joining WMS Partners, Hannah Popp Neitzke was an Assurance Associate with PriceWaterhouse Coopers.

## Item 3 Disciplinary Information

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None.

#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Chad Joseph Norfolk**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Chad Joseph Norfolk that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Chad Joseph Norfolk is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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**Item 2 Education Background and Business Experience**

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Chad Joseph Norfolk was born in 1974. Mr. Norfolk graduated from Towson State University in 1996 earning a degree in Business Administration with a concentration in Marketing. Mr. Norfolk joined the firm in January 2014 as a shareholder, and is currently Senior Financial Advisor of WMS Partners. Mr. Norfolk served as Chief Operations Officer of WMS Partners, LLC from February 2016 to January 2017, and was promoted to Managing Director of Advisory Services in January of 2017. From July 2006 to January 2014 Mr. Norfolk was a Principal and COO with FAI Wealth Management. Prior to that, he was a Principal and Vice President with Geier Asset Management.

Mr. Norfolk has held the designation of Certified Financial Planner (CFP®) since 2004. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are



required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

## Item 1 Cover Page

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A.

**Kelly M. Nowottnick**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Kelly M. Nowottnick that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Kelly M. Nowottnick is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

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Kelly M. Nowottnick was born in 1983. In 2005, Ms. Nowottnick graduated from the University of Maryland, College Park's Robert H. Smith School of Business with a Bachelor of Science in Marketing, graduating *summa cum laude*. In 2008, Ms. Nowottnick earned a Juris Doctorate from the University of Maryland Francis King Carey School of Law, graduating *magna cum laude*. Ms. Nowottnick joined WMS Partners, LLC as a Senior Fiduciary Advisor in January of 2021. Prior to that, Ms. Nowottnick served as a Senior Fiduciary Advisor for Hawthorn, PNC Bank, N.A. from August 2014 through December 2020. Prior to her time with PNC Bank, Ms. Nowottnick served as an Associate Attorney in the Tax and Wealth Planning Group of Venable LLP from September 2008 through August 2014.

## Item 3 Disciplinary Information

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None.

#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

---

WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

## Item 1 Cover Page

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A.

**Hugh William Palmer**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

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B.

This Brochure Supplement provides information about Hugh William Palmer that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Hugh William Palmer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

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Hugh William Palmer was born in 1990. Mr. Palmer graduated from the United States Military Academy in 2012 with a Bachelor of Science degree in Economics. Mr. Palmer earned a Master of Business Administration in Finance from the University of Pennsylvania Wharton School in 2019. Mr. Palmer has been a Financial Advisor of WMS Partners, LLC since August 2019.

Prior to his time with WMS Partners, Mr. Palmer served in the United States Army from May 2012 through June 2017, eventually serving in his capacity as Company Executive Officer.

## Item 3 Disciplinary Information

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None.

#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

---

A.

**Daniel S. Pereira**

WMS Partners, LLC

Brochure Supplement

Dated March 30, 2022

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Towson City Center

1 Olympic Place, 8th Floor

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B.

**This Brochure Supplement provides information about Daniel S. Pereira that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Daniel S. Pereira is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

#### **Item 2 Education Background and Business Experience**

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Daniel S. Pereira was born in 1992. Mr. Pereira graduated from Salisbury University in 2015 earning a degree in Finance. Mr. Pereira joined the firm in February 2022, and is currently a Financial Advisor of WMS Partners. From June 2015 to February 2022, Mr. Pereira was a Financial Advisor with Merrill. Prior to his time with Merrill, Mr. Pereira worked as a Financial Advisor Assistant with InFocus Financial Advisors, Inc. from September 2013 through May 2015.

Mr. Pereira has held the designation of Certified Financial Planner (CFP®) since 2017. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- C. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- D. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

---

A.

**Alexander R. Price**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2022

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B.

**This Brochure Supplement provides information about Alexander R. Price that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Alexander R. Price is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

---

Alexander R. Price was born in 1994. Mr. Price graduated from Towson State University in 2017 earning a degree in Business Administration. Mr. Price joined the firm in November 2021, and is currently a Financial Advisor of WMS Partners. From January 2017 to November 2021, Mr. Price was a Personal Financial Advisor with Financial Consulate.

Mr. Price has held the designation of Certified Financial Planner (CFP®) since 2019. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.



### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- B. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- C. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Joshua Frederick Rowe**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

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1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
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B.

This Brochure Supplement provides information about Joshua Frederick Rowe that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Joshua Frederick Rowe is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**Item 2 Education Background and Business Experience**

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Joshua Frederick Rowe was born in 1983. In 2005, Mr. Rowe graduated from Johns Hopkins University with a Bachelor of the Arts in History and Creative Writing. Mr. Rowe went on to earn a Ph.D. in History of Science and Technology from Princeton University in 2011. Mr. Rowe joined WMS Partners, LLC as a Portfolio Manager in October 2019.

From January 2013 through March of 2014, Mr. Rowe was a Senior Analyst for Convergent Wealth Advisors, located in Potomac, Maryland. From March 2014 through October 2019, Mr. Rowe served as a Senior Analyst & Portfolio Manager for Orinda Asset Management, located in Orinda, California.

Mr. Rowe has held the designation of Chartered Financial Analyst (CFA®) since 2014. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and

investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

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**Item 3 Disciplinary Information**

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None.

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**Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

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**Item 5 Additional Compensation**

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None.

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**Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

---

A.

**Paul Anthony Speargas**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Paul Anthony Speargas that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Paul Anthony Speargas is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 Education Background and Business Experience**

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Paul Anthony Speargas was born in 1974. Mr. Speargas graduated from Loyola College in 1996, with a degree in History and in 1996 earned his Master's in Business Administration with a concentration in Finance in 2000. Mr. Speargas has been a Senior Financial Advisor of WMS Partners, LLC since March of 2008, and was promoted to shareholder in January 2011. Mr. Speargas is currently the firm's Chief Investment Officer. From February 2003 to March 2008, Mr. Speargas was a Senior Portfolio Manager at Mercantile Investment and Wealth Management.

Mr. Speargas has held the designation of Chartered Financial Analyst (CFA®) since 2001 CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed

48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

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**Item 3 Disciplinary Information**

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None.

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**Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

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**Item 5 Additional Compensation**

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None.

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**Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Reiley Jones Syrek**

WMS Partners, LLC

Brochure Supplement

Dated March 30, 2021

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Towson City Center

1 Olympic Place, 8th Floor

Towson, Maryland 21204

[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Reiley Jones Syrek that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Reiley Jones Syrek is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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## **Item 2 Education Background and Business Experience**

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Reiley Jones Syrek was born in 1994. Mr. Syrek graduated from Johns Hopkins University with a Bachelor of Arts in Economics in May of 2016. Mr. Syrek joined WMS Partners, LLC as a Investment Performance Analyst in June 2016.

Mr. Syrek is a level III Chartered Financial Analyst (CFA®) candidate. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics. First, candidates must possess a bachelor's degree from an accredited school, or its equivalent. Second, candidates must have completed 48 months of qualified professional work experience, generally related to evaluating or applying financial, economic, and/or statistical data as part of the investment decision-making process involving securities or similar investment. Third, candidates must pass a series of three six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and

portfolio management. Finally, candidates must meet and continue to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Christopher Brian Walczak**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

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Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
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B.

**This Brochure Supplement provides information about Christopher Brian Walczak that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Christopher Brian Walczak is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

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Christopher Brian Walczak was born in 1982. Mr. Walczak graduated from the University of Maryland, College Park in 2006 earning a degree in Economics. Mr. Walczak is a Senior Financial Advisor at WMS Partners and joined the firm in March 2019. From May 2006 to December 2017 Mr. Walczak was a Family Wealth Advisor for Genspring Family Offices, LLC. In December Of 2017, GenSpring Family Offices became GenSpring | SunTrust Private Wealth, a division of SunTrust Bank, where Mr. Walczak served as Wealth Strategist & Senior Vice President until his departure in March of 2019.

Mr. Walczak has held the designation of Certified Financial Planner (CFP®) since May of 2010. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP®



candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Walczak has held the designation of Certified Trust and Financial Advisor (CTFA™) since May 2015. CTFTA™ is a credential granted by the American Bankers Association to individuals who demonstrate expertise in the wealth management and trust fields. To receive the designation, candidates must meet an industry experience requirement, pass a comprehensive examination, and sign a Code of Ethics statement. Designation holders are expected to earn 45 continuing education credits every three years.

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**Item 3 Disciplinary Information**

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None.

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**Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

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**Item 5 Additional Compensation**

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None.

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**Item 6 Supervision**

---

WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Zakia Khan Weber**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2022

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Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Zakia Khan Weber that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Zakia Khan Weber is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

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Zakia Khan Weber was born in 1988. Ms. Weber graduated from Pennsylvania State University in 2010 with a Bachelor of the Arts degree in Economics. Ms. Weber has been an Associate Advisor of WMS Partners, LLC since February 2020.

From September 2014 to February 2019, Ms. Weber was a Financial Advisor for Merrill Lynch, Pierce, Fenner, & Smith Inc. From March 2019 through February 2020, Ms. Weber served as an Acquisition Consultant for T. Rowe Price. Ms. Weber has held the designation of Certified Plan Fiduciary Advisor (CPFA) since 2019. The Certified Plan Fiduciary Advisor (CPFA) designation is granted by the National Association of Plan Advisors ("NAPA"). Plan advisors who earn their CPFA demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities. Successful candidates complete a required course of study and pass a proctored exam. CPFA designation holders have a continuing education

requirement of 20 hours every two years; two of which must be on an ethics/professionalism topic.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

## Item 1 Cover Page

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A.

**Natalie E. Whelton**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

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B.

This Brochure Supplement provides information about Natalie E. Whelton that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Natalie E. Whelton is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

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Natalie E. Whelton was born in 1984. Mrs. Whelton graduated from the Louisiana State University with a Bachelor of Arts degree in English and French. Mrs. Whelton earned a J.D. from the Loyola University New Orleans College of Law in 2010, and the University of Alabama School of Law with an LLM in Taxation in 2012. Mrs. Whelton joined WMS Partners, LLC as a Financial Advisor and Planner in 2020. Prior to that, Mrs. Whelton served as Fiduciary Advisor I for Hawthorn, PNC Bank, N.A. from October 2015 to December 2019. Prior to her time with PNC Bank, Mrs. Whelton served as an attorney in the private practice of law from October 2013 through June of 2015.

## Item 3 Disciplinary Information

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None.

#### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

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None.

#### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.

A.

**Todd Michael Wickwire**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

This Brochure Supplement provides information about Todd Michael Wickwire that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Todd Michael Wickwire is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 Education Background and Business Experience**

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Todd Michael Wickwire was born in 1965. Mr. Wickwire graduated from Franklin & Marshall College in 1987, with a Bachelor of the Arts degree in Accounting and Business Administration. Mr. Wickwire also holds a Master of Business Administration degree in Finance from the Wharton School, University of Pennsylvania. Mr. Wickwire's Master's degree was awarded in 1992. Mr. Wickwire was hired as the Chief Operating Officer of WMS Partners, LLC in February, 2019. Mr. Wickwire became Chief Executive Officer of WMS Partners, LLC in September, 2019.

From January 2000 through February 2019, Mr. Wickwire worked for Wells Fargo Securities, LLC. At the time of his departure from Wells Fargo, Mr. Wickwire held the titles of the Managing Director & Co-Head of Equity Research. Prior to his career with Wells Fargo, Mr. Wickwire held investment related positions with Wachovia Securities, LLC, First Union Capital Markets Corp., JP Morgan & Co, Inc., and BT Alex. Brown Inc.

Mr. Wickwire holds the designation of Certified Public Accountant (“CPA”) (inactive since November 2017). CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain an active CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA’s *Code of Professional Conduct* within their state accountancy laws or have created their own.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. With the exception of his position as adjunct professor at Loyola University Maryland, the supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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The supervised person does not receive additional compensation for the provision of advisory services.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS’s policies and procedures manual. The primary purpose of WMS’s Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor’s Act (“Act”). WMS’s Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS’s policies and procedures and overseeing the activities of WMS’s supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of

the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.



A.

**Kaitlin Carlson Zook**

WMS Partners, LLC

Brochure Supplement  
Dated March 30, 2021

Contact: Paul Lamari, Chief Compliance Officer  
Towson City Center  
1 Olympic Place, 8th Floor  
Towson, Maryland 21204  
[www.wms-partners.com](http://www.wms-partners.com)

B.

**This Brochure Supplement provides information about Kaitlin Carlson Zook that supplements WMS Partners, LLC Brochure. You should have received a copy of that Brochure. Please contact Paul Lamari, Chief Compliance Officer, if you did *not* receive WMS Partners, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Kaitlin Carlson Zook is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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**Item 2 Education Background and Business Experience**

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Kaitlin Carlson Zook was born in 1989. Mrs. Zook graduated from James Madison University with a Bachelor of Science degree in Interdisciplinary Liberal Studies in December 2011. Mrs. Zook obtained a graduate degree in Teaching from James Madison University in May 2013. Mrs. Zook joined WMS Partners, LLC as an Advisory Associate in 2015. In April of 2019, Mrs. Zook was promoted to Financial Advisor. Prior to her time with WMS, Mrs. Zook worked as a Senior Associate with T. Rowe Price in Baltimore, Maryland, where she worked from November 2013 to February 2015.

Mrs. Zook has held the designation of Certified Financial Planner (CFP®) since 2019. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are

required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

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None.

### **Item 4 Other Business Activities**

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- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

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None.

### **Item 6 Supervision**

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WMS provides investment advisory and supervisory services in accordance with WMS's policies and procedures manual. The primary purpose of WMS's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). WMS's Chief Compliance Officer, Paul Lamari, is primarily responsible for the implementation of WMS's policies and procedures and overseeing the activities of WMS's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of WMS have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding WMS's supervision or compliance practices, please contact Mr. Lamari at (410) 337-7575.